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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wenhua Lin

Serial No.: 09/872,473

Filed: June 1, 2001

Group No.: 2874

Examiner: Unknown

Docket No. LIGHT1960

For: TUNABLE DISPERSION COMPENSATOR

CERTIFICATION UNDER 37 CFR § 1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date 8 January 2002, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

8 January 2002 — Date

Terrance A. Meador — Signature

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant hereby cites the documents listed in accompany Form PTO-1449 with respect to the above-referenced patent application under the provisions of 37 CFR 1.97(b). Copies of the documents are attached.

The Examiner is respectfully requested to make the listed documents of record in connection with the prosecution of the subject application.

Respectfully submitted,

Date: 8 January 2002

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Substitute for form 1449A/PTO **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** *(use as many sheets necessary)*				**COMPLETE IF KNOWN**	
				Application Number	09/872,473
				Filing Date	06/01/01
				First Named Inventor	Lin
				Group Art Unit	2874
				Examiner Name	Unknown
SHEET	2	**OF**	6	**Docket Number**	LIGHT1960

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T[6]
✓	26	AMANN, M.C. et al, *Calculation Of The Effective Refractive-Index Step For The Metal-Cladded-Ridge-Waveguide Laser*, Applied Optics, VOL 20, No.8, Apr 15 1981, pg 1483-1486	
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	U.S. Patent Document Number	U.S. Patent Document Kind Code [2] (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	4,618,210		Kondo	10-21-1986	
	2	4,747,654		Yi-Yan	03-31-1988	
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✓	18	EPO	0647861A1		AT&T Corp.	12.04.1995		
✓	19	EPO	0985942A2		Lucent Technologies, Inc.	15.03.2000		
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✓	21	Japan	6-186598		Hitachi Ltd.	8.7.1994		
✓	22	Japan	63-197923		NEC Corp.	16.8.1988		

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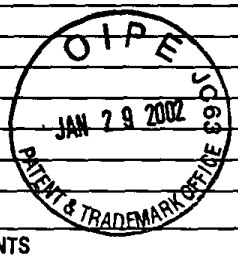

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	97	RENAUD, M. et al., *Compact Digital Optical Switches for Low Insertion Loss Large Switch Arrays on InP*; Proc. 21st Eur.Conf.on Opt. Comm. (ECOC '95-Brussels), pg 99-102	
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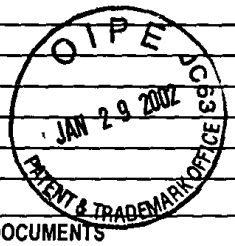
				First Named Inventor	Lin
				Group Art Unit	2874
				Examiner Name	Unknown
SHEET	6	**OF**	6	**Docket Number**	LIGHT1960



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